



## Courtesy Form for Reporting of Anesthesia Death or Hospitalization

Business and Professions Code, Section 1680(Z)(2)

### PART 1 – REQUIREMENTS

Per Business & Professions Code § 1680 (z), the reporting of the death or hospitalization for cases in which patients received anesthesia are required to be submitted to the Board within seven (7) days and are required to include the following information on this form.

Are you reporting a:     Hospitalization                       Death

### PART 2 – NAME, CONTACT, AND LICENSEE INFORMATION

1. Dentist Name: \_\_\_\_\_  
                                    First                                      Middle                                      Last
2. Address of Record: \_\_\_\_\_  
    Incident Address (if different): \_\_\_\_\_
3. Telephone Numbers: Home: \_\_\_\_\_ Office: \_\_\_\_\_ Cell: \_\_\_\_\_
4. Email address: \_\_\_\_\_
5. CA License #(s): \_\_\_\_\_ Date Issued: \_\_\_\_\_
6. Sedation or General Anesthesia Permit #: \_\_\_\_\_ Date Issued: \_\_\_\_\_
7. Other License # (if applicable): \_\_\_\_\_ Date Issued: \_\_\_\_\_

### PART 3 – PATIENT INFORMATION

**\*For the purpose of #12-14 & #21, categories of providers are: General Dentist, Pediatric Dentist, Oral Surgeon, Dentist Anesthesiologist, Physician Anesthesiologist, Dental Assistant, Registered Dental Assistant, Dental Sedation Assistant, Registered Nurse, Certified Registered Nurse Anesthetist, or Other.**

1. Date of Procedure: \_\_\_\_\_  
    And Date of Incident, if different: \_\_\_\_\_
2. Date Dentist Learned of Incident: \_\_\_\_\_
3. Patient Name: \_\_\_\_\_

4. Emergency Contact/Parent/Guardian: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_
5. Patient's age (years and months): \_\_\_\_\_ yrs \_\_\_\_\_ mos.
6. Patient's weight: \_\_\_\_\_ BMI \_\_\_\_\_
7. Sex: \_\_\_\_\_
8. Patient's American Society of Anesthesiologists (ASA) physical status:  
\_\_\_\_\_
9. Patient's primary dental diagnosis: \_\_\_\_\_  
\_\_\_\_\_
10. Patient's coexisting diagnoses, diseases, or conditions: \_\_\_\_\_  
\_\_\_\_\_
11. Dental procedures performed: \_\_\_\_\_  
\_\_\_\_\_
12. Sedation/anesthesia setting: \_\_\_\_\_  
Location administered: \_\_\_\_\_
13. Medications administered and dosage: \_\_\_\_\_  
\_\_\_\_\_
14. Monitoring equipment utilized: \_\_\_\_\_  
\_\_\_\_\_
15. Permit category of the provider responsible for sedation/anesthesia oversight\*: \_\_\_\_\_
16. Permit category of the provider delivering sedation/anesthesia \*: \_\_\_\_\_  
\_\_\_\_\_
17. Permit category of the provider monitoring the patient during sedation/anesthesia \*: \_\_\_\_\_
18. Did the person supervising the sedation perform one or more of the procedures: \_\_\_\_\_
16. Planned airway management: \_\_\_\_\_  
\_\_\_\_\_

17. Planned depth of sedation/anesthesia: \_\_\_\_\_

18. Complications that occurred: \_\_\_\_\_  
\_\_\_\_\_

19. Description of what was unexpected about the airway management:  
\_\_\_\_\_  
\_\_\_\_\_

20. Was there transportation of the patient during sedation/anesthesia:  
\_\_\_\_\_

Time EMS called: \_\_\_\_\_ Time EMS arrived: \_\_\_\_\_

21. Location transported (Name of Hospital): \_\_\_\_\_

22. Permit category of the provider conducting resuscitation measures\*:  
\_\_\_\_\_

23. Emergency resuscitation and Emergency medical equipment utilized:  
\_\_\_\_\_  
\_\_\_\_\_

**PART 4 – Dentist Narrative of Event**

\*Please print or write legibly. Additional sheets may be attached as necessary.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**This information is not an admission of guilt, but is for educational, data, or investigative purposes.** Business & Professions Code §1680(z)(4)

\_\_\_\_\_  
Licensee's Signature

\_\_\_\_\_  
Date